

# CSCI 204: Data Structures & Algorithms

*Revised by Xiannong Meng based on  
textbook author's notes*

## Hash Maps Introduction

Revised based on textbook author's notes.

### Introduction

- When discussing search we saw:
  - linear search –  $O(n)$
  - binary search –  $O(\log n)$
- Can we improve the search operation to achieve better than  $O(\log n)$  time?

### Comparison-Based Searches

- To locate an item, the target search key has to be compared against the other keys in the collection.
  - $O(\log n)$  is the best that can be achieved in comparison-based search.
  - We must use a different technique if we want to improve the search time.

### Hashing

- The process of mapping a search key to a limited range of array indices.
  - The goal is to provide direct access to the keys.
  - **hash table** – the array containing the keys.
  - **hash function** – maps a key to an array index.

### Hashing Example

- Suppose we have a list of popular fruits, we want to find if a particular type of fruit is in our inventory.
  - Apple, Banana, Grape, Orange, Pear, Pineapple, Strawberry.
  - We could use an array of 26 elements, each is index by the first letter of the fruit name, assuming no repetition. We can simply check for `fruit[name[0]]!`

### Hashing Example

- Suppose we have the following set of keys

765, 431, 96, 142, 579, 226, 903, 388

a hash table,  $T$ , with  $M = 13$  elements.

- We can define a simple hash function  $h()$
- $h(765) \rightarrow 11, h(431) \rightarrow 2, \dots$

$$h(\text{key}) = \text{key} \% M$$

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### Adding Keys

- To add a key to the hash table:
  - Apply the hash function to determine the array index in which the key should be stored.
    - $h(765) \Rightarrow 11$
    - $h(431) \Rightarrow 2$
    - $h(96) \Rightarrow 5$
    - $h(142) \Rightarrow 12$
    - $h(579) \Rightarrow 7$
  - Store the key in the given slot.



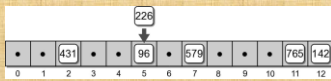
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### Collisions

- What happens when we attempt to add key 226?

$$h(226) \Rightarrow 5$$

- collision** – when two or more keys map to the same hash location.



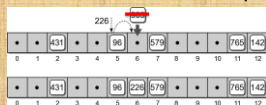
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### Resolving collisions

- There are in general two approaches to resolve collisions,
  - Closed hashing**: find an open spot within the hash table to store the new element
  - Open hashing**: create a structure, e.g., a list, or a tree, in the hashed spot to store the elements that have the same hashing key
- We first concentrate on closed hashing.

### Closed hashing: probing

- If two keys map to the same table entry, we must resolve the collision to find another available slot.
- linear probe** – simplest approach which examines the table entries in sequential order.

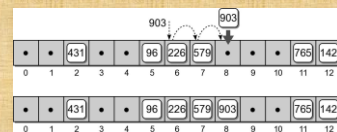


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### Probing

- Consider adding key 903 to our hash table.

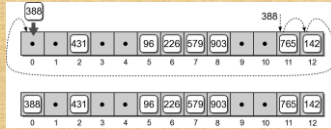
$$h(903) \Rightarrow 6$$



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### Probing

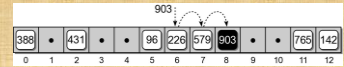
- If the end of the array is reached during the probe, it wraps around to the first entry and continues.
- Consider adding key 388 to our hash table.  
 $h(388) \Rightarrow 11$



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### Searching

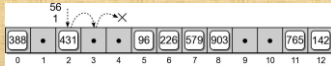
- Searching a hash table for a specific key is very similar to the add operation.
  - Target key is mapped to an initial slot.
  - See if the slot contains the target.
  - Otherwise, apply the same probe used to add keys to locate the target.
- Example: search for key 903.



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### Searching

- What if the key is not in the hash table?



- The probe continues until either:
  - a null reference is reached, or
  - all slots have been examined.

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### Deleting Keys

- Deleting a key from a hash table is a bit more complicated than adding keys.
  - We can search for the key to be deleted.
  - But we cannot simply remove it by setting the entry to None.

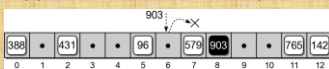
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### Incorrect Deletion

- Suppose we simply remove key 226 from slot 6.



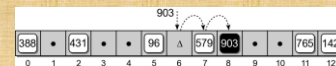
- What happens if we search for key 903?



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### Correct Deletion

- We use a special flag to indicate the entry is now empty, but was previously occupied.
- When searching a hash table, the probe must continue past the slot(s) with the special flag.



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### Clustering

- The grouping of keys in a common area.
  - As more keys are added to the hash table, more collisions are likely to occur.
  - Clusters begin to form due to the probing required to find an empty slot.
  - As a cluster grows larger, more collisions will occur.
- primary clustering** – clustering around the original hash position.

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### Probe Sequence

- The order in which the hash entries are visited during a probe.
  - The linear probe steps through the entries in sequential order.
  - The next array slot can be represented as  $slot = (home + i) \% M$
- where
  - $i$  is the  $i^{th}$  probe.
  - home is the **home position** of the original key

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### Modified Linear Probe

- We can improve the linear probe by changing the step size to some fixed constant.
- $$slot = (home + i * c) \% M$$
- Suppose we set  $c = 3$  to build the hash table.

$h(765) \Rightarrow 11$	$h(579) \Rightarrow 7$
$h(431) \Rightarrow 2$	$h(226) \Rightarrow 5 \Rightarrow 8$
$h(96) \Rightarrow 5$	$h(903) \Rightarrow 6$
$h(142) \Rightarrow 12$	$h(388) \Rightarrow 11 \Rightarrow 1$



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### Quadratic Probing

- A better approach for reducing primary clustering.
- $$slot = (home + i^2) \% M$$
- Increases the distance between each probe in the sequence.
  - Example:

$h(765) \Rightarrow 11$	$h(579) \Rightarrow 7$
$h(431) \Rightarrow 2$	$h(226) \Rightarrow 5 \Rightarrow 6$
$h(96) \Rightarrow 5$	$h(903) \Rightarrow 6 \Rightarrow 7 \Rightarrow 10$
$h(142) \Rightarrow 12$	$h(388) \Rightarrow 11 \Rightarrow 12 \Rightarrow 2 \Rightarrow 7 \Rightarrow 1$



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### Computations from last slide

- Quadratic probing

$h(765) \Rightarrow 11$	$h(579) \Rightarrow 7$
$h(431) \Rightarrow 2$	$h(226) \Rightarrow 5 \Rightarrow 6$
$h(96) \Rightarrow 5$	$h(903) \Rightarrow 6 \Rightarrow 7 \Rightarrow 10$
$h(142) \Rightarrow 12$	$h(388) \Rightarrow 11 \Rightarrow 12 \Rightarrow 2 \Rightarrow 7 \Rightarrow 1$

$h(226) \Rightarrow 5$ , second  $(5 + 1^2) \% M \Rightarrow 6$   
 $h(903) \Rightarrow 6$ , second  $(6 + 1^2) \% M \Rightarrow 7$ , third  $(6 + 2^2) \% M \Rightarrow 10$   
 $h(388) \Rightarrow 11$ , second  $(11 + 1^2) \% M \Rightarrow 12$ ,  
 third  $(11 + 2^2) \% M \Rightarrow 2$ , fourth  $(11 + 3^2) \% M \Rightarrow 7$ ,  
 fifth  $(11 + 4^2) \% M \Rightarrow 1$



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### Quadratic Probing

- Reduces the number of collisions.
- Introduces the problem of **secondary clustering**.
  - When two keys map to the same entry and have the same probe sequence.
- Example: add key 648
  - hashes to entry 11
  - follows the same sequence as key 388



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## Double Hashing

- When a collision occurs, a second hash function is used to build a probe sequence.

$$\text{slot} = (\text{home} + i * \text{hp}(\text{key})) \% M$$

- Step size remains a constant throughout the probe.
- Multiple keys that have the same home position, will have different probe sequences.

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## Double Hashing

- A simple choice for the second hash function.

$$\text{hp}(\text{key}) = 1 + \text{key} \% P$$

- Example: let  $P = 8$

$h(765) \Rightarrow 11$	$h(579) \Rightarrow 7$
$h(431) \Rightarrow 2$	$h(226) \Rightarrow 5 \Rightarrow 8$
$h(96) \Rightarrow 5$	$h(903) \Rightarrow 6$
$h(142) \Rightarrow 12$	$h(388) \Rightarrow 11 \Rightarrow 3$



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## Computations from last slide

- Double hashing

-  $\text{slot} = (\text{home} + i * \text{hp}(\text{key})) \% M$ , e.g.,  $M=13$

-  $\text{hp}(\text{key}) = 1 + \text{key} \% P$ , e.g.,  $P = 8$

$h(765) \Rightarrow 11$	$h(579) \Rightarrow 7$
$h(431) \Rightarrow 2$	$h(226) \Rightarrow 5 \Rightarrow 8$
$h(96) \Rightarrow 5$	$h(903) \Rightarrow 6$
$h(142) \Rightarrow 12$	$h(388) \Rightarrow 11 \Rightarrow 3$

$h(226) \Rightarrow 5$ , double hashing  $[(5+1*(1+226))\%P] \% M \Rightarrow 8$   
 $h(388) \Rightarrow 11$ , double hashing  $[(11+1*(1+388))\%P] \% M \Rightarrow 3$

