

## CSCI 204: Data Structures & Algorithms

*Revised by Xiannong Meng based on  
textbook author's notes*

## Binary Tree Application Build Expression Tree Heaps

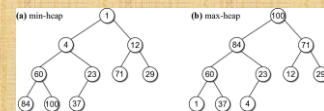
Revised based on textbook author's notes.

### Heaps

- A heap is a complete binary tree in which the nodes are organized based on their data values.
- **heap order property** – how the nodes in a heap are arranged.
- **heap shape property** – as a complete binary tree.

### Heap property, examples

- For each non-leaf node  $V$ ,
  - **max-heap**: the value in  $V$  is greater than the value of its two children.
  - **min-heap**: the value in  $V$  is smaller than the value of its two children.

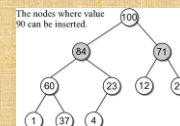


### Heap Operations

- The heap is a specialized structure with limited operations.
  - Insert an element into the heap.
  - Remove the element from root node.

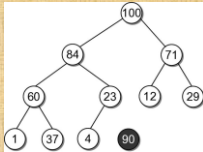
### Heap Insertions

- When an element is inserted into a heap, both properties must be maintained.
  - Example: add 90 to the max-heap.
  - There are only 2 places where 90 can be inserted.



### Heap Insertions

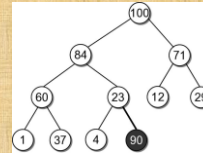
- To properly insert an element into a heap involves several steps.
- Create a new node for value.



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### Heap Insertions

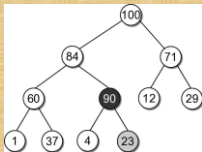
- Link the node in as the last child in the complete tree.



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### Heap Insertions

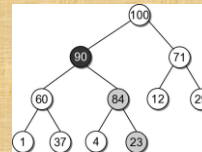
- To restore the heap property, the new element has to move up along its path:
- the data is swapped with its parent's data.
- sift-up**



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### Heap Insertions

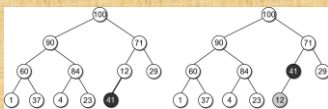
- 90 must move up another level since 84 is smaller.
- After which, it is in its correct position.



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### Heap Insert Example

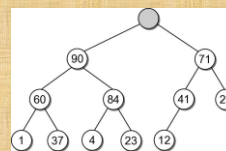
- Insert 41 into the heap from the previous slide.



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### Heap Extractions

- When an element is extracted from the heap, it can only come from the root node.
- Both heap properties must be maintained.



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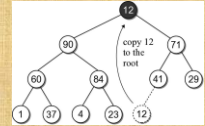
## Heap Extractions

- To restore the tree to a heap:
  - another value will have to take the place of the extracted value in the root node.
  - a node has to be removed from the tree.

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## Heap Extractions

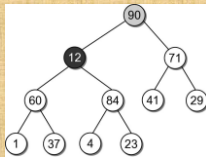
- There is only one node that can be removed and still maintain the heap shape property.
  - Copy the data from the last child node to the root.
  - Delete the last child node.



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## Heap Extractions

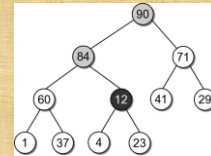
- To maintain the heap order property, the new root value has to be **sifted-down**.



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## Heap Extractions

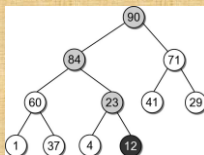
- The shifting continues until the value is placed into a leaf node, or it is larger than its children.



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## Heap Extractions

- After being swapped with 23, value 12 will be in a node that maintains the heap order property.



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